



COPY OF PAPERS
ORIGINALLY FILED

#3
10-15-03
GP2152

Docket No. GB920010076US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: S. J. Holdsworth

Serial No.: 10/016,935

Filed: 12/14/2001

For: Selection of Communication Strategies for Message Brokers or Publish/Subscribe Communications

US Patent and Trademark Office
Washington, DC 20231

RECEIVED
MAR 06 2002
Technology Center 2100

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the following attached correspondence comprising:

1. Information Disclosure Statement and Form PTO-1449 and 1 reference.
2. Business Reply Post Card

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

US Patent and Trademark Office

Washington, DC 20231

on February 8, 2002

Catherine M. Robbins
(Name of person mailing paper or fee)

Catherine M. Robbins
(Signature of person mailing paper or fee)



#3
10/15/03

THE UNITED STATES PATENT & TRADEMARK OFFICE

In re application of : February 8, 2002
S. J. Holdsworth : IBM Corporation
Filing Date: 12/14/2001 : Dept. T81/Bldg. 503-3
Serial No. 10/016,935 : P.O. Box 12195
For: Selection of Communication Strategies for Message Brokers : Research Triangle Park,
or Publish/Subscribe Communications : North Carolina 27709

COPY OF PAPERS
ORIGINALLY FILED

INFORMATION DISCLOSURE STATEMENT

RECEIVED

MAR 06 2002

Technology Center 2100

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Applicants submit herewith patents, publications or other information which they became aware of and which there may be a duty to disclose in accordance with 37 CF 1.56 and 37 C.F.R. 1.97(e)(1).

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

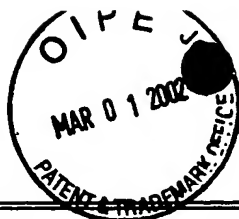
The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98(a)(1).

A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,

A. Bruce Clay
Attorney for Applicants
Registration No. 32,121

ABC/cmr
PHONE: 919-254-6717
FAX: 919-254-4330
Docket No. GB920010076US1



COPY OF PATENTS
ORIGINALLY FILED

FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION
DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

GB920010076US1

SERIAL NO.

10/016,935

APPLICANT: S. J. Holdsworth

FILING DATE:

12/14/2001

GROUP:

2152

RECEIVED

MAR 06 2002

Technology Center 210

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
	AA						
	AB						
	AC						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AL							
	AM							

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	IBM Technical Disclosure Article No. 41982, "Managing Topology Changes in a Message Broker Environment", March 1999
	AS	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.